PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449/PTO | | Complete if Known | | |
|---|------------------------|-------------------|--|--|
| | Application Number | | | |
| INFORMATION DISCLOSURE | Filing Date | | | |
| · - · · · · · · · · · · · · · · · · · · | First Named Inventor | William Harper | | |
| STATEMENT BY APPLICANT | Art Unit | | | |
| (Use as many sheets as necessary) | Examiner Name | | | |
| Sheet 1 of 2 | Attorney Docket Number | | | |

| | | | U. S. PATEN | T DOCUMENTS | |
|-----------------------|-------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. | Document Number Number-Kind Code ² (f known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| DK | 1 | US- 165,628 | 06-25-1875 | Strunz | 3 |
| | | ^{US-} 1,827,549 | 01-31-1930 | Villain | |
| | | us- 1,983,002 | 12-04-1934 | Reeves | |
| | | ^{US-} 3,413,230 | 11-26-1968 | Dupuis | |
| | | ^{US-} 4,591,328 | 05-27-1986 | Cheung | |
| | | ^{US-} 4,797,291 | 01-10-1989 | Pierce | |
| | | ^{US-} 4,837,956 | 06-13-1989 | Dolence | |
| | | ^{US-} 5,492,644 | 02-20-1996 | Minkin | |
| | | ^{US-} 5,869,437 | 02-09-1999 | Wolfersberger | |
| | | ^{US-} 5,871,096 | 02-16-1999 | Yakich | |
| 'AX | | ^{US-} 6,184,191 | 02-06-2001 | Wolfersberger | |
| 11/19 | | ^{US-} 6,421,986 | 07-23-2002 | Dharssi | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US-10/602,448 | 06-24-2003 | Harper | |
| | | US- | | | |
| | | US- | | | |
| | | US- | 1 | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|--|---------------------|--|---|----|--|
| | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | |
| | | Country Code ³ Number ⁴ "Kind Code ³ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | 16 | |
| | | | | | | | |
| | | | | | | - | |
| | | | | | | | |
| | | | | | | | |
| | | | | , | | | |

| Examiner Signature | Jum | Pal | | Date Considered | 3-21-05 |
|-----------------------|------------------------|------------------------------------|--------------------------------------|--|---------|
| PEYAMINED | · Initial if ratothnoo | acheidarad whathar as not sitalia. | a in in anatomican with MATH COO. D. | The state of the s | |

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Trais collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

| Under the Paperwo | rk Reduction A | act of 1995, no persons a | re required to respond to a collection | of information unless it contains a valid OMB control number | |
|-----------------------------------|------------------------|---------------------------|--|--|--|
| Substitute for form 1449/PTO | | | C mplete if Known | | |
| | | | Applicati n Number | | |
| INFORMATI | ON DIS | SCLOSURE | Filing Date | | |
| STATEMEN | STATEMENT BY APPLICANT | | | William Harper | |
| (Use as many sheets as necessary) | | | Art Unit | | |
| (030 83 782 | ny sneets as i | recessary) | Examiner Name | | |
| Sheet 2 | of | 2 | Attorney Docket Number | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| AR | 1 | HOOPER, JUDITH (1999) A New Germ Theory; Atlantic Monthly; February 1999; http://www.theatlantic.com/issues/99feb/germs.htm | |
| | 2 | EARL, M. L.; Jackson, M. M. and Richman, L. S. (2001) Improved Rates of Compliance with Antisepsis, Guidelines; American Journal of Nursing; March 2001; 101 (3): 26-33 | |
| 1 | 3 | PITTET, D. (2002) Promotion of Hand Hygiene: Magic, Hype, or Scientific Challenge?; Infection Control and Hospital Epidemiology; March 2002; 23(3) | |
| DA | 4 | DYER, D. L.; SHINDER, A.; Shinder, F.; (2000) Alcohol-free Instant Hand Sanitizer Reduces Elementary School Illness Absenteeism; Family Medicine; Oct. 2000; 32(9): 633-8 | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| Examiner | 2 | 1100 | Date | _ |
|--------------|-------------------------|-------|------------|----------|
| Signature | 1) ryn | 1 The | Considered | 3-21-02 |
| SEVANDIES. I | istatif automorphism to | | | <u> </u> |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: